

## DCM FINANCIAL SERVICES LTD

### Shareholding Pattern : Quarter ending : September 2019

Quarter ending :September 2019

Particular	Yes/No
Whether the Listed Entity has issued any partly paid up shares?	No
Whether the Listed Entity has issued any Convertible Securities ?	No
Whether the Listed Entity has issued any Warrants ?	No
Whether the Listed Entity has any shares against which depository receipts are issued?	No
Whether the Listed Entity has any shares in locked-in?	No
Whether any shares held by promoters are pledge or otherwise encumbered?	No
Whether company has equity shares with differential voting rights?	No
Whether the listed entity has any significant beneficial owner?	Yes

#### Summary statement holding of specified securities

Category of shareholder	No. of shareholders	No. of fully paid up equity shares held	Total no. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a %	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form
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				of (A+B+C2)			
(A) Promoter & Promoter Group	4	87,39,952	87,39,952	39.50	87,39,952	39.50	87,39,952
(B) Public	21,757	1,33,85,102	1,33,85,102	60.50	1,33,85,102	60.50	96,52,268
(C1) Shares underlying DRs				0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00	
Grand Total	21,761	2,21,25,054	2,21,25,054	100.00	2,21,25,054	100.00	1,83,92,220

**Note:**C=C1+C2

Grand Total=A+B+C

**Statement showing shareholding pattern of the Promoter and Promoter Group**

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of equity shares held in dematerialized form
<b>A1) Indian</b>				0.00	
<b>Individuals/Hindu undivided Family</b>	1	15	15	0.00	15
Vivek Bharat Ram	1	15	15	0.00	15
<b>Any Other (specify)</b>	3	87,39,937	87,39,937	39.50	87,39,937
DCM SERVICES LTD	1	63,52,487	63,52,487	28.71	63,52,487
INTELLECT CAPITAL SERVICES PVT LTD	1	20,75,000	20,75,000	9.38	20,75,000
SHRIRAM GLOBAL ENTERPRISES LTD	1	3,12,450	3,12,450	1.41	3,12,450



<b>Sub Total A1</b>	4	87,39,952	87,39,952	39.50	87,39,952
<b>A2) Foreign</b>				0.00	
<b>A=A1+A2</b>	4	87,39,952	87,39,952	39.50	87,39,952

Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting right	No. of equity shares held in dematerialized form(Not Applicable)
<b>B1) Institutions</b>	0	0		0.00		0.00	
<b>Mutual Funds/</b>	8	33700	33,700	0.15	33,700	0.15	
<b>Financial Institutions/ Banks</b>	3	1352700	13,52,700	6.11	13,52,700	6.11	
Punjab & Sind Bank	1	1319900	13,19,900	5.97	13,19,900	5.97	
<b>Sub Total B1</b>	11	1386400	13,86,400	6.27	13,86,400	6.27	
<b>B2) Central Government/ State Government(s)/ President of India</b>	0	0		0.00		0.00	
<b>B3) Non-Institutions</b>	0	0		0.00		0.00	
<b>Individual share capital upto Rs. 2 Lacs</b>	21077	8225453	82,25,453	37.18	82,25,453	37.18	64,58,976
<b>Individual share capital in excess of Rs. 2 Lacs</b>	44	2147905	21,47,905	9.71	21,47,905	9.71	20,85,365
BHARATKUMAR HARIKRISHNA PARIKH	1	240270	2,40,270	1.09	2,40,270	1.09	2,40,270



KOKILABEN BHARATKUMAR PARIKH	1	275391	2,75,391	1.24	2,75,391	1.24	2,75,391
<b>Any Other (specify)</b>	625	1625344	16,25,344	7.35	16,25,344	7.35	11,07,927
CENTENNIAL CORPORATION PRIVATE LTD	1	599690	5,99,690	2.71	5,99,690	2.71	5,99,690
Non-Resident Indian (NRI)	450	544960	5,44,960	2.46	5,44,960	2.46	95,360
<b>Sub Total B3</b>	21746	11998702	1,19,98,702	54.23	1,19,98,702	54.23	96,52,268
<b>B=B1+B2+B3</b>	21757	13385102	1,33,85,102	60.50	1,33,85,102	60.50	96,52,268

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,.

**Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of equity shares held in dematerialized form(XIV)(Not Applicable)
<b>C1) Custodian/DR Holder</b>	0	0		0.00	
<b>C2) Employee Benefit Trust</b>	0	0		0.00	

**Note**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,.



Details of disclosure made by the Trading Members holding 1% or more of the Total No. of shares of the company.

Sl. No.	Name of the Trading Member	Name of the Beneficial Owner	No. of shares held	% of total no. of shares	Date of reporting by the Trading Member
-	NIL	NIL	NIL	NIL	NIL