



Corporate Governance Report

1. Name of Listed Entity : DCM Financial Services Limited  
 2. Quarter ending : 31.12.2018

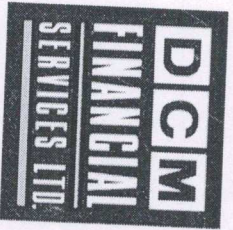
**I. Composition of Board of Directors**

Title (Mr. / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson / Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment in the current term	Cessation	Tenure of Directors in month*	No. of Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	*Surrender Kumar	PAN-ASMPK6068J DIN-02188166	Chairperson-Executive Director	30 <sup>th</sup> December, 2003	01/12/2018	-	1	2	0
Ms.	Richa Kalra	PAN-AADPK3972P DIN-07632571	Non-Executive Independent Director	30 <sup>th</sup> November, 2016	-	2 Years and 1 Month	1	2	2
Ms.	Daman Preet Kaur	PAN-COEPK1695B DIN-07475919	Non-Executive Independent Director	11 <sup>th</sup> August, 2017	-	16 Months & 21 days	1	1	0

**DCM FINANCIAL SERVICES LIMITED**

CIN: L65921DL1991PLC043087

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Ms.	Rajni Gupta	PAN- AFIPG6057N DIN- 07242992	Non- Executive Independent Director	30 <sup>th</sup> November, 2018	-	10 Months & 17 days	2	3	1
Mr.	*Shantanu Deveshwar	PAN- AGHPD8822E DIN- 08268523	Executive Director	30 <sup>th</sup> November, 2018	-	2 Months 9 days	1	2	0

\* Note: 1. Mr. Surender Kumar has been retired from the directorship with effect from 01<sup>st</sup> December, 2018.  
2. Mr. Shantanu Deveshwar has been appointed as an Additional Director with effect from 22<sup>nd</sup> October, 2018 and Appointed as WTD in AGM held on November 30, 2018.

**II. Composition of Committees**

Name of Committee	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)
Audit Committee	Ms. Richa Kalra Mr. Surender Kumar* Ms. Rajni Gupta Mr. Shantanu Deveshwar*	Chairperson-Non-Executive Independent Director Executive director Non-Executive Independent Director Executive director
Nomination & Remuneration Committee	Ms. Richa Kalra Ms. Daman Preet Kaur Ms. Rajni Gupta	Chairperson-Non-Executive Independent Director Non-Executive Independent Director Non-Executive Independent Director
Risk Management Committee	Not Applicable	Not Applicable

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Stakeholders Relationship Committee	Ms. Richa Kalra Ms. Damam Preet Kaur Mr. Surender Kumar* Mr. Shantanu Deveshwar*	Chairperson-Non-Executive Independent Director Non-Executive Independent Director Executive director Executive director
<p>* Note: 1. Mr. Surender Kumar has been retired from the directorship with effect from 01st December, 2018. 2. Mr. Shantanu Deveshwar has been appointed as an Additional Director with effect from 22<sup>nd</sup> October, 2018 and Appointed as WTD in AGM held on November 30, 2018.</p>		

III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meeting (in number of days)	
5th July, 2018	22 <sup>nd</sup> October, 2018	69	
13th August, 2018	14 <sup>th</sup> November, 2018	22	
	3 <sup>rd</sup> December, 2018	18	

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**IV. Meeting of Committees**

Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	14 <sup>th</sup> November, 2018	Yes	13 <sup>th</sup> August, 2018	92
Stakeholders' Relationship Committee	14 <sup>th</sup> November, 2018	Yes	13 <sup>th</sup> August, 2018	92
Nomination & Remuneration Committee	22 <sup>nd</sup> October, 2018	Yes	5 <sup>th</sup> July, 2018	69
	14 <sup>th</sup> November, 2018	Yes	13 <sup>th</sup> August, 2018	22

**V. Related Party Transaction**

Subject	Compliance Status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

**Note**  
 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.  
 2. If status is "No" details of non-compliance may be given here.

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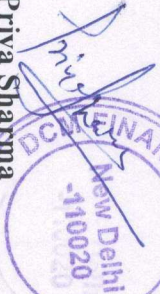
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 Website: [www.dfsionline.com](http://www.dfsionline.com)



**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

**Signatory Details**


**Priya Sharma**  
**(Company Secretary & Compliance Officer)**

**Date: 08.01.2019**

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