



Corporate Governance Report

1. Name of Listed Entity : **DCM Financial Services Limited**
 2. Quarter ending : **31.12.2019**

Annexure-I

I. Composition of Board of Directors												
Title (Mr / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Directors in month*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Ms.	Richa Kalra	PAN - AAD PK39 72P	Non-Executive Independent Director	6 th October, 2016	30 th September, 2019	-	38.85 Months	22/01/1979	1	1	0	2

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		DIN-07632571										
Ms.	Daman PreetKaur	PAN - COE PK1695B DIN-07475919	Non-Executive Independent Director	11 th August, 2017	30 th November, 2017	-	28.69 Months	09/10/1991	1	1	1	0
Ms.	Rajni Gupta	PAN - AFIP G6057N DIN-07242992	Non-Executive Independent Director	12 th February, 2018	30 th November, 2018	-	22.65 Months	01/05/1973	2	2	2	1
Mr.	Shantanu Deveshwar	PAN - AGH PD8822E DIN-08268523	Executive Director (Whole Time)	22 nd October, 2018	30 th November, 2018	-	-	16/05/1969	1	0	2	0

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	Whether Regular chairperson appointed	NO				
	Whether Chairperson is related to managing director or CEO	NA				
II. Composition of Committees						
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation	
1. Audit Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
		Mr. Shantanu Deveshwar	Executive director	22-11-2018	-	
2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	

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		Ms. Daman PreetKaur	Non-Executive Independent Director	11-08-2017	-	
		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	

III. Meeting of Board of Directors

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Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)
18 th July, 2019					
12 th August, 2019					
20 th August, 2019	13 th November, 2019	YES	4	3	84

**to be filled in only for the current quarter meetings*

IV. Meeting of Committees						
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	13 th November, 2019	Yes	3	2	12 th August, 2019	92
Stakeholders' Relationship Committee	13 th November, 2019	Yes	3	2	12 th August, 2019	92
Nomination & Remuneration Committee	Nil	NA	NA	NA	18 th July, 2019	NA

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V. Related Party Transaction

Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

Note

1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.
2. If status is “No” details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

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FOR DCM FINANCIAL SERVICES LIMITED

A handwritten signature in black ink, appearing to read 'Shantanu Deveshwar', is written over a horizontal line.

**Shantanu Deveshwar
(Whole Time Director)
DIN: 08268523**

Date: 13.01.2020

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