



Corporate Governance Report

1. Name of Listed Entity : DCM Financial Services Limited
 Quarter ending : 31.12.2020

Annexure-I

I. Composition of Board of Directors												
Title (Mr / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Directorship in month*	Date of Birth	No. of Directorship in listed entities including this listed entity <i>[in reference to Regulation 17A(1)]</i>	No of Independent Directorship in listed entities including this listed entity <i>[in reference to proviso to regulation 17A(1)]</i>	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

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Website: www.dfsionline.com



Mr.	Sanjay Sahni	PAN - ABP PS92 33B DIN-0836 4951	Non-Executive Independent Director	1 st September, 2020	18 th December, 2020	-	4 Month	20/11/1969	2	2	2	0
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Mr.	Kaushal Kashyap	PAN - BPT PK30 45L DIN-0768 3753	Non-Executive Director	1 st September, 2020	18 th December, 2020	-	4 month	23/12/1991	2	1	1	1
Ms.	Richa Kalra	PAN - AAD PK39 72P DIN-0763 2571	Non-Executive Independent Director	6 th October, 2016	30 th September, 2019	-	50.8 Months	22/01/1979	1	1	0	2

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Ms.	Daman PreetKaur	PAN - COE PK16 95B DIN-0747 5919	Non-Executive Independent Director	11 th August, 2017	30 th November, 2017	-	40.64 Months	09/10/1991	1	1	1	0
Ms.	Rajni Gupta	PAN - AFIP G605 7N DIN-0724 2992	Non-Executive Independent Director	12 th February, 2018	30 th November, 2018	-	34.61 Months	01/05/1973	1	1	2	0
Mr.	Shantanu Deveshwar	PAN - AGH PD88 22E DIN-0826 8523	Chairperson Executive Director (Whole Time)	22 nd October, 2018	30 th November, 2018	-	-	16/05/1969	1	0	2	0
	Whether Regular chairperson appointed		Yes-Mr. Shantanu Deveshwar									
	Whether Chairperson is related to managing		NA									

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	director or CEO					
II.	Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation	
1. Audit Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
		Mr. Shantanu Deveshwar	Executive director	22-11-2018	-	
2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	

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		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	

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III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)
3 rd July, 2020	11 th November, 2020	YES	6	4	60
1 st September, 2020					
11 th September, 2020					

**to be filled in only for the current quarter meetings*

IV. Meeting of Committees						
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	11 th November, 2020	Yes	3	2	11th September, 2020	60
Stakeholders' Relationship Committee	11 th November, 2020	Yes	3	2	11th September, 2020	60
Nomination & Remuneration Committee	11 th November, 2020	Yes	3	3	1st September, 2020	70

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V. Related Party Transaction	
Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
Note 1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated. 2. If status is “No” details of non-compliance may be given here.	

VI. Affirmations
<ol style="list-style-type: none">1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :<ol style="list-style-type: none">a. Audit Committeeb. Nomination & remuneration committeec. Stakeholders relationship committee3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

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FOR DCM Financial Services Limited

A handwritten signature in black ink, appearing to read 'Shantanu Deveshwar', is written over a solid black horizontal line.

**ShantanuDeveshwar
(Whole Time Director)
DIN: 08268523**

Date: 09.01.2021

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