



Corporate Governance Report

1. Name of Listed Entity : **DCM Financial Services Limited**
 2. Quarter ending : **31.12.2021**

Annexure-I

I. Composition of Board of Directors												
Title (Mr / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Director s in month*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



Mr.	Sanjay Sahni	PAN - ABP PS92 33B DIN- 0836 4951	Non-Executive Independent Director	1st September, 2020	18 th December, 2020	-	16 Months	20/11/1969	3	3	5	0
------------	---------------------	--	------------------------------------	---------------------	---------------------------------	---	-----------	------------	---	---	---	---

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



Mr.	Kaushal Kashyap	PAN - BPT PK30 45L DIN-0768 3753	Non-Executive Director	1st September, 2020	18th December, 2020	-	16 months	23/12/1991	2	1	1	1
Ms.	Richa Kalra	PAN AAD PK39 72P DIN-0763 2571	Non-Executive Independent Director	6 th October, 2016	30 th September, 2019	-	62.8	22/01/1979	1	1	0	2

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



Ms.	Daman Preet Kaur	PAN - COE PK16 95B DIN-0747 5919	Non-Executive Independent Director	11 th August, 2017	30 th November, 2017	-	52.64 Months	09/10/1991	1	1	1	0
Ms.	Rajni Gupta	PAN - AFIP G605 7N DIN-0724 2992	Non-Executive Independent Director	12 th February, 2018	30 th November, 2018	-	46.61 Months	01/05/1973	1	1	0	0
Mr.	Shantanu Deveshwar	PAN - AGH PD88 22E DIN-0826 8523	Chairperson on Executive Director (Whole Time)	22 nd October, 2018	30 th November, 2018	15 th January, 2022	-	16/05/1969	1	0	2	0
Whether Regular chairperson appointed			Yes- Shantanu Deveshwar									

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



	Whether Chairperson is related to managing director or CEO	NA					
II.	Composition of Committees						
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation		
1. Audit Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-		
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-		
		Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021	-		
2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-		

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)
14 th August, 2021	10 th November, 2021	YES	5	3	87
	18 th November, 2021	YES	5	3	7

IV. Meeting of Committees						
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	10 th November, 2021	Yes	3	2	14 th August, 2021	87
Stakeholders' Relationship Committee	10 th November, 2021	Yes	3	2	14 th August, 2021	87

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



Nomination & Remuneration Committee	18 th November, 2021	Yes	3	3	Nil	NA
--	---------------------------------	-----	---	---	-----	----

V. Related Party Transaction	
Subject	Compliance Status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
<p>Note</p> <p>1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.</p> <p>2. If status is “No” details of non-compliance may be given here.</p>	

VI. Affirmations
<ol style="list-style-type: none"> 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 : <ol style="list-style-type: none"> a. Audit Committee b. Nomination & remuneration committee c. Stakeholders relationship committee 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in



4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For DCM Financial Services Limited

Somali Tiwari

**Somali Tiwari
(Company Secretary & Compliance Officer)**

Date:20.01.2022

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.in