

## **Corporate Governance Report**

1. Name of Listed Entity : **DCM Financial Services Limited** 

2. Quarter ending : **31.12.2021** 

### Annexure-I

I.	Composition	ı of Boa	rd of Directo	ors								
Titl	Name of	PAN	Category	Initial	Date of Re-	Date of	Tenure	Date of	No. of	No of	Number of	No. of post of
e	the	&	(Chairper		Appointme	Cessati	of	Birth	Directorshi	Independent	membersh	Chairperson in
(Mr	Directors	DIN	son/	Appoint	nt	on	Director		p in listed	Directorship	ips in	Audit/
./			Executive	ment			s in		entities	in listed	Audit/	Stakeholder
Ms.			/ Non-				month*		including	entities	Stakeholde	Committee
)			Executive						this listed	including this	r	held in listed
			/						entity	listed entity	Committee	entities
			Independ						[in reference	[in reference	<b>(s)</b>	including this
			ent/						to	to proviso to	including	listed entity
			Nominee)						Regulation 17A(1)]	regulation 17A(1)]	this listed	(Refer
									177(1)]	177(1)]	entity	Regulation 26(1) of Listing
											(Refer	Regulations)
											Regulation 26(1) of	r (ogulations)
											Listing	
											Regulations)	
											negalations	

### **DCM FINANCIAL SERVICES LIMITED**

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Sanjay	PAN	Non-	1st	18 <sup>th</sup>	-	16	20/11/1969	3	3	5	0
Sahni	-	Executive	Septemb			Months					
	ABP	Independe	er, 2020								
			,								
	DIN-										
	0836										
	4951										
	Sanjay Sahni	Sahni - ABP PS92 33B DIN- 0836	Sahni - Executive ABP Independe PS92 nt 33B Director  DIN- 0836	Sahni  - Executive Independe er, 2020  PS92 nt Director  DIN- 0836	Sahni - Executive Independe er, 2020 December, 2020  Bahni - Executive er, 2020  Din- Din- 0836	Sahni - Executive ABP Independe PS92 nt 33B Director DIN-0836 December, 2020	Sahni - Executive Independe PS92 nt Director DIN-0836   December, 2020   Months	Sahni - Executive ABP Independe PS92 nt Director DIN-0836 December, 2020 Months	Sahni - Executive Independe er, 2020 December, 2020  Septemb er, 2020  DIN- 0836  December, 2020  December, 2020  December, 2020	Sahni - Executive ABP Independe PS92 nt 33B Director Din- 0836 Director Septemb er, 2020  Din- 0836 December, 2020  December, 2020	Sahni - Executive ABP Independe PS92 nt 33B Director Din- 0836 Director Septemb er, 2020  Din- 0836 Director Septemb er, 2020  December, 2020  December, 2020

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3.4	T7 1 1	DANT	NT	1 .	1.0.1		1.0	00/10/1001	_	1	1	1
Mr.	Kaushal	PAN	Non-	1st	18th	-	16	23/12/1991	2	1	I	l
	Kashyap	-	Executive	Septemb	December,		months					
		BPT	Director	er, 2020	2020							
		PK30		,								
		45L										
		DIN-										
		0768										
		3753										
		3133										
Me	Richa	PAN	Non-	6 <sup>th</sup> Octob	30 <sup>th</sup> Septemb		62.8	22/01/1979	1	1	0	2
Ms.					30 Septemb	-	02.8	22/01/19/9	1	1	U	2
	Kalra	AAD	Executive	er, 2016	er, 2019							
		PK39	Independe									
		72P	nt									
		. ==	Director									
		DIM	Director									
		DIN-										
		0763										
		2571										

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Ms.	Daman	PAN	Non-	11 <sup>th</sup>	30 <sup>th</sup>	_	52.64	09/10/1991	1	1	1	0
1713.	Preet	-	Executive	August,	November,	_	Months	07/10/1771	1	1	1	U
	Kaur	COE	Independe	2017	2017		Wiontins					
	Ixaui	PK16	nt	2017	2017							
		95B	Director									
		731	Birector									
		DIN-										
		0747										
		5919										
Ms.	Rajni	PAN	Non-	12 <sup>th</sup> Febr	$30^{\rm th}$	-	46.61	01/05/1973	1	1	0	0
	Gupta	-	Executive	uary,	November,		Months					
		AFIP	Independe	2018	2018							
		G605	nt									
		7N	Director									
		DIN-										
		0724										
		2992										
Mr.	Shantanu	PAN	Chairpers	22 <sup>nd</sup>	$30^{\rm th}$	15 <sup>th</sup>	-	16/05/1969	1	0	2	0
	Deveshwar	-	on	October,	November,	January,						
		AGH	Executive	2018	2018	2022						
		PD88	Director									
		22E	(Whole									
			Time)									
		DIN-										
		0826										
		8523										
		ther Reg		Yes- Shan	tanuDeveshwa	ır						
	chair	person	appointed									

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	Whether Chairperson is related to managing director or CEO	NA							
II.	Composition	of Committees							
Name of Co.	 mmittee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date Appointment	of	Date Cessation	of	
1. Audit Con	nmittee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016		-		
			Mr. Shantanu Deveshwar	Executive Director	22-11-2018		-		
			Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021		-		
2. Nomination Committee	on & Remuneration	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016		-		

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		Ms. Daman Preet	Non-Executive Independent	11-08-2017	-	
		Kaur	Director			
		Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive	06-10-2016	-	
			Independent Director			
		Ms. Daman Preet	Non-Executive Independent	11-08-2017	-	
		Kaur	Director			
		Mr. Shantanu	Executive Director	22-11-2018	-	
		Deveshwar				



III. Meeting of Board										
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)					
,										
14 <sup>th</sup> August, 2021	10 <sup>th</sup> November, 2021	YES	5	3	87					
	18 <sup>th</sup> November, 2021	YES	5	3	7					

IV. Meeti	ng of Committees					
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	10 <sup>th</sup> November, 2021	Yes	3	2	14 <sup>th</sup> August, 2021	87
Stakeholders' Relationship Committee	10 <sup>th</sup> November, 2021	Yes	3	2	14 <sup>th</sup> August, 2021	87

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Nomination & Remuneration	18 <sup>th</sup> November, 2021	Yes	3	3	Nil	NA
Committee						

V. Related Party Transaction	
Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

#### Note

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

#### VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015:
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

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 The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For DCM Financial Services Limited

Somali Tiwani Somali Tiwani

(Company Secretary & Compliance Officer)

Date:20.01.2022

### DCM FINANCIAL SERVICES LIMITED