



**Corporate Governance Report**

1. Name of Listed Entity : **DCM Financial Services Limited**  
 2. Quarter ending : **30.06.2021**

**Annexure-I**

<b>I. Composition of Board of Directors</b>												
<b>Title (Mr / Ms.)</b>	<b>Name of the Directors</b>	<b>PAN &amp; DIN</b>	<b>Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)</b>	<b>Initial Date of Appointment</b>	<b>Date of Re-Appointment</b>	<b>Date of Cessation</b>	<b>Tenure of Director s in month*</b>	<b>Date of Birth</b>	<b>No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]</b>	<b>No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]</b>	<b>Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)</b>	<b>No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)</b>

**DCM FINANCIAL SERVICES LIMITED**

CIN: L65921DL1991PLC043087

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Website: www.dfsionline.in



<b>Mr.</b>	<b>Sanjay Sahni</b>	PAN - ABP PS92 33B  DIN-0836 4951	Non-Executive Independent Director	1st September, 2020	18 <sup>th</sup> December, 2020	-	10 Months	20/11/1969	3	3	3	0
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<b>Mr.</b>	<b>KaushalK ashyap</b>	PAN - BPT PK30 45L  DIN- 0768 3753	Non- Executive Director	1st Septemb er, 2020	18th December, 2020	-	10 months	23/12/1991	2	1	1	1
<b>Ms.</b>	<b>RichaKalr a</b>	PAN AAD PK39 72P  DIN- 0763 2571	Non- Executive Independe nt Director	6 <sup>th</sup> Octob er, 2016	30 <sup>th</sup> Septemb er, 2019	-	56.8	22/01/1979	1	1	0	2

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<b>Ms.</b>	<b>Daman PreetKaur</b>	PAN - COE PK16 95B DIN-0747 5919	Non-Executive Independent Director	11 <sup>th</sup> August, 2017	30 <sup>th</sup> November, 2017	-	46.64 Months	09/10/1991	1	1	1	0
<b>Ms.</b>	<b>Rajni Gupta</b>	PAN - AFIP G605 7N  DIN-0724 2992	Non-Executive Independent Director	12 <sup>th</sup> February, 2018	30 <sup>th</sup> November, 2018	-	40.61 Months	01/05/1973	1	1	2	0
<b>Mr.</b>	<b>Shantanu Deveshwar</b>	PAN - AGH PD88 22E  DIN-0826 8523	Chairperson on Executive Director (Whole Time)	22 <sup>nd</sup> October, 2018	30 <sup>th</sup> November, 2018	-	-	16/05/1969	1	0	2	0
<b>Whether Regular chairperson appointed</b>			<b>Yes- ShantanuDeveshwar</b>									

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	<b>Whether Chairperson is related to managing director or CEO</b>	<b>NA</b>					
<b>II.</b>	<b>Composition of Committees</b>						
	<b>Name of Committee</b>	<b>Whether Regular chairperson appointed</b>	<b>Name of Committee Members</b>	<b>Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)</b>	<b>Date of Appointment</b>	<b>Date of Cessation</b>	
	1. Audit Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
			Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
			Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	
	2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
			Ms. Daman	Non-Executive Independent	11-08-2017	-	

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		PreetKaur	Director			
		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	

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<b>III. Meeting of Board of Directors</b>					
<b>Date(s) of Meeting (if any) in the previous quarter</b>	<b>Date(s) of Meeting (if any) in the relevant quarter</b>	<b>Whether requirement of Quorum met*</b>	<b>Number of Directors present*</b>	<b>Number of independent directors present*</b>	<b>Maximum gap between any two consecutive meeting (in number of days)</b>
11 <sup>th</sup> February, 2021	26 <sup>th</sup> May, 2021	YES	5	3	81
05 <sup>th</sup> March, 2021	29 <sup>th</sup> June, 2021	YES	6	4	33

*\* The Board meeting held on 22<sup>nd</sup> June, 2021 was adjourned for want of Quorum, so no business was transacted in the said meeting. The Adjourned Meeting was held on 29<sup>th</sup> June, 2021.*

<b>IV. Meeting of Committees</b>						
<b>Name of Committee</b>	<b>Date(s) of meeting of the committee in the relevant quarter</b>	<b>Whether requirement of Quorum met (details)*</b>	<b>Number of Directors present*</b>	<b>Number of independent directors present*</b>	<b>Date(s) of meeting of the committee in the previous quarter</b>	<b>Maximum gap between any two consecutive meetings in number of days</b>
<b>Audit Committee</b>	26 <sup>th</sup> May, 2021	Yes	2	2	11 <sup>th</sup> February, 2021	103
	29 <sup>th</sup> June, 2021*	Yes	3	2		33
<b>Stakeholders'</b>	26 <sup>th</sup> May, 2021	Yes	3	2	11 <sup>th</sup> February, 2021	103

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<b>Relationship Committee</b>						
	29 <sup>th</sup> June, 2021	Yes	3	2		33
<b>Nomination &amp; Remuneration Committee</b>	Nil	NA	NA	NA	Nil	NA

*\* The Audit Committee meeting held on 22<sup>nd</sup> June, 2021 was adjourned for want of Quorum, so no business was transacted in the said meeting. The Adjourned Meeting was held on 29<sup>th</sup> June, 2021.*

<b>V. Related Party Transaction</b>	
<b>Subject</b>	<b>Compliance Status (Yes/No/NA)refer note below</b>
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
<p><b>Note</b></p> <p>1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.</p> <p>2. If status is “No” details of non-compliance may be given here.</p>	

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## **VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

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**For DCM Financial Services Limited**

A handwritten signature in black ink, appearing to read 'Shantanu', is written over a faint, light-colored grid background.

**Shantanu Deveshwar  
(Whole Time Director)  
DIN: 08268523**

**Date: 16.07.2021**

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