



Corporate Governance Report

1. Name of Listed Entity : DCM Financial Services Limited
 2. Quarter ending : 30.09.2018

Annexure-I

1. Composition of Board of Directors									
Title (Mr. / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment in the current term	Cessation	Tenure of Directors in month*	No. of Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Surrender Kumar	PAN-ASMMPK6068J DIN-02188166	Chairperson- Executive Director	30 th December, 2003	-	-	1	2	0
Ms.	Richa Kalra	PAN-AADPPK3972P DIN-07632571	Non-Executive Independent Director	30 th November, 2016	-	1 year & 10 months	1	2	2
Ms.	Daman Preet Kaur	PAN-COEPK1695B DIN-07475919	Non-Executive Independent Director	11 th August, 2017	-	13 Months & 21 days	1	1	0

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Ms. Rajni Gupta	PAN-AFIPG6057N DIN-07242992	Non-Executive Independent Director	12 th February, 2018	-	7 Months & 17 days	2	3	1
II. Composition of Committees								
Name of Committee			Name of Committee Members			Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)		
Audit Committee			Ms. RichaKalra Mr. Surender Kumar Ms. Rajni Gupta	Chairperson-Non-Executive Independent Director Executive director Non-Executive Independent Director				
Nomination & Remuneration Committee			Ms. RichaKalra Ms. Damnan PreetKaur Ms. Rajni Gupta	Chairperson-Non-Executive Independent Director Non-Executive Independent Director Non-Executive Independent Director				
Risk Management Committee			Not Applicable	Not Applicable				
Stakeholders Relationship Committee			Ms. RichaKalra Ms. Damnan PreetKaur Mr. Surender Kumar	Chairperson-Non-Executive Independent Director Non-Executive Independent Director Executive director				

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III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings (in number of days)
2 nd April, 2018		5 th July, 2018	35
30 th May, 2018		13 th August, 2018	38

IV. Meeting of Committees				
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	13 th August, 2018	Yes	30 th May, 2018	74
Stakeholders' Relationship Committee	13 th August, 2018	Yes	30 th May, 2018	74
Nomination & Remuneration Committee	5 th July, 2018	Yes	30 th May, 2018	35
	13 th August, 2018	Yes		38

V. Related Party Transaction		Compliance Status (Yes/No/NA)refer note below
Subject		
Whether prior approval of audit committee obtained		NA
Whether shareholder approval obtained for material RPT		NA
Whether details of RPT entered into pursuant to omnibus approval have		NA

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been reviewed by Audit Committee

Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Signatory Details

Priya Sharma
(Company Secretary & Compliance officer)

Date: 10.10.2018

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Annexure-III

Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year

I. Affirmations	Regulation Number	Compliance status (Yes/No/NA) refer note below
Broad heading		
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes (Complied in respect of AGM held in 2017. AGM for the financial year 2017-18 will be held in the month of November as we have been granted extension for this)
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes (Complied in respect of AGM held in 2017. AGM for the financial year 2017-18 will be held in the month of November as we have been granted extension for this)
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes (Complied in respect of AGM held in 2017. AGM for the financial year 2017-18 will be held in the month of November as we have been granted extension for this)
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes (Complied in respect of AGM held in 2017. AGM for the financial year 2017-18 will be held in the month of November as we have been granted extension for this)

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- 2 If status is "No" details of non-compliance may be given here.
- 3 If the Listed Entity would like to provide any other information the same may be indicated here.

Signatory Details

A handwritten signature in black ink, appearing to read 'Piyasha Sharma', is written over a horizontal line in the signatory details section.

CS Piyasha Sharma
(Company Secretary & Compliance Officer)

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