



Corporate Governance Report

1. Name of Listed Entity : **DCM Financial Services Limited**
 2. Quarter ending : **30.09.2019**

Annexure-I

I. Composition of Board of Directors												
Title (Mr / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Director's in month*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Ms.	Richa Kalr	PAN	Non-	6th Octob	30th Septemb	-	35.82	22/01/1979	1	1	0	2

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	a	- AAD PK39 72P DIN- 0763 2571	Executive Independe nt Director	er, 2016	er, 2019		Months					
Ms.	Daman PreetKaur	PAN - COE PK16 95B DIN- 0747 5919	Non- Executive Independe nt Director	11 th August, 2017	30 th November, 2017	-	25.65 Months	09/10/1991	1	1	1	0
Ms.	Rajni Gupta	PAN - AFIP G605 7N DIN- 0724 2992	Non- Executive Independe nt Director	12 th Febr uary, 2018	30 th November, 2018	-	19.62 Months	01/05/1973	2	2	2	1
Mr.	Shantanu Deveshwar	PAN - AGH PD88 22E	Executive Director (Whole Time)	22 nd October, 2018	30 th November, 2018	-	-	16/05/1969	1	0	2	0

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		DIN-08268523										
	Whether Regular chairperson appointed		NO									
	Whether Chairperson is related to managing director or CEO		NA									
II. Composition of Committees												
II. Composition of Committees												
Name of Committee			Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation					
I. Audit Committee			YES	Ms. Richa Kalra Ms. Rajni Gupta Mr. Shantanu Deveshwar	Chairperson-Non-Executive Independent Director Non-Executive Independent Director Executive director	06-10-2016 12-02-2018 22-11-2018	- - -					

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2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Ms. Rajni Gupta	Non-Executive Independent Director	12-02-2018	-	
3. Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Shantanu Deveshwar	Executive Director	22-11-2018	-	

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III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)
28 th May, 2019					
10 th June 2019	18 th July, 2019	YES	4	3	37
	12 th August, 2019	YES	4	3	24
	20 th August, 2019	YES	4	3	7

**to be filled in only for the current quarter meetings*

IV. Meeting of Committees

Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	12 th August, 2019	Yes	3	2	28 th May, 2019	75
Stakeholders' Relationship Committee	12 th August, 2019	Yes	3	2	28 th May, 2019	75
Nomination & Remuneration Committee	18 th July, 2019	Yes	3	3	28 th May, 2019	50

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V. Related Party Transaction

Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
Note 1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated. 2. If status is “No” details of non-compliance may be given here.	

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

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5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Annexure-III

Format to be submitted by listed entity at the end of 6 months after end of financial year

VII. Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	YES
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	YES
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	YES
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	YES

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Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	YES
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FOR DCM FINANCIAL SERVICES LIMITED

Sd/-

**ShantanuDeveshwar
(Whole Time Director)
DIN: 08268523**

Date:12.10.2019

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