



Corporate Governance Report

1. Name of Listed Entity : **DCM Financial Services Limited**
 2. Quarter ending : **30.09.2022**

Annexure-I

I. Composition of Board of Directors												
Title (Mr / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive / Non-Executive / Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Date of Cessation	Tenure of Director's in month*	Date of Birth	No. of Directorship in listed entities including this listed entity <i>[in reference to Regulation 17A(1)]</i>	No of Independent Directorship in listed entities including this listed entity <i>[in reference to proviso to regulation 17A(1)]</i>	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity <i>(Refer Regulation 26(1) of Listing Regulations)</i>

DCM FINANCIAL SERVICES LIMITED

CIN: L65921DL1991PLC043087

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Website: www.dfsionline.in



Mr.	Sanjay Sahni	PAN - ABP PS92 33B DIN-0836 4951	Non-Executive Independent Director	1st September, 2020	18 th December, 2020	-	25 Months	20/11/1969	3	3	5	0
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Mr.	Kaushal Kashyap	PAN - BPT PK30 45L DIN-0768 3753	Non-Executive Director	1st September, 2020	18th December, 2020	-	25 months	23/12/1991	2	1	1	1
Ms.	Richa Kalra	PAN AAD PK39 72P DIN-0763 2571	Non-Executive Independent Director	6 th October, 2016	30 th September, 2019	-	71.8	22/01/1979	1	1	0	2

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Ms.	Daman Preet Kaur	PAN - COE PK16 95B DIN-0747 5919	Non-Executive Independent Director	11 th August, 2017	30 th November, 2017	-	61.64 Months	09/10/1991	1	1	2	0
Ms.	Rajni Gupta	PAN - AFIP G605 7N DIN-0724 2992	Non-Executive Independent Director	12 th February, 2018	30 th November, 2018	-	55.61 Months	01/05/1973	1	1	0	0
Mrs.	Nidhi Deveshwar	PAN - AW UPD 4394 C DIN-0950 5480	Executive Director (Whole Time)	14 th February, 2022	06 th May, 2022	-	4.5 Months	27/06/1975	1	0	0	0
Whether Regular				No								

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	chairperson appointed						
	Whether Chairperson is related to managing director or CEO	NA					
II.	Composition of Committees						
Name of Committee	Whether Regular chairperson appointed	Name of Committee Members	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation		
1. Audit Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-		
		Ms. Daman Preet Kaur	Non-Executive Independent Director	15-01-2022	-		
		Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021	-		

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2. Nomination & Remuneration Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Sanjay Sahni	Non-Executive Independent Director	14-08-2021	-	
3.Risk Management Committee	NA	NA	NA			
4. Stakeholders Relationship Committee	YES	Ms. Richa Kalra	Chairperson-Non-Executive Independent Director	06-10-2016	-	
		Ms. Daman Preet Kaur	Non-Executive Independent Director	11-08-2017	-	
		Mr. Kaushal Kashyap	Director	15-01-2022	-	

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III.		IV. Meeting of Board of Directors				
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Total Number of Directors as on the date of the meeting	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meeting (in number of days)
04.04.2022	09.08.2022	YES	6	5	3	73
27.05.2022	05.09.2022	YES	6	5	3	26

V. Meeting of Committees							
Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Total Number of Directors as on date of the meeting	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
Audit Committee	09.08.2022	Yes	3	3	3	27.05.2022	73
	05.09.2022	Yes	3	3	3		26
Stakeholders' Relationship Committee	09.08.2022	Yes	3	3	2	27.05.2022	73
Nomination &	09.08.2022	Yes	3	3	3	04.04.2022	126

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Remuneration Committee	05.09.2022	Yes	3	3	3		26
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VI. Related Party Transaction	
Subject	Compliance Status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA
Note	
1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.	
2. If status is “No” details of non-compliance may be given here.	

VII. Affirmations
<ol style="list-style-type: none"> 1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 : <ol style="list-style-type: none"> a. Audit Committee b. Nomination & remuneration committee c. Stakeholders relationship committee 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure

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requirements) Regulations, 2015.

5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Annexure-III

Format to be submitted by listed entity at the end of 6 months after end of financial year

VIII. Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general Meeting	19(3)	Yes
Presence of Chairperson of the Stakeholder Relationship committee at the annual general Meeting	20(3)	Yes
Whether “Corporate Governance Report” disclosed in Annual	34(3) read with para C of Schedule V	Yes

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Report		
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Annexure-IV

Format to be submitted twice a year, on a half yearly basis by the listed entity at the end of every 6 months of the financial year

Half year ending – 30th September, 2022

I. Disclosure of Loans / guarantees / comfort letters / securities etc.		
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:		
Entity	Aggregate amount advanced during six months	Balance Outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL
Promoter group or any other entity controlled by them	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL
(B)		

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Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (Guarantee, comfort, letter etc.)	Aggregate amount of issuance during six months	Balance Outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

(C)

Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance Outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by	NIL	NIL	NIL

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them			
KMPs or any other entity controlled by them	NIL	NIL	NIL

II.

Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

Name: Somali Tiwari

Designation: Chief Financial Officer

For DCM Financial Services Limited

Nidhi Deveshwar
(DIN:09505480)
Wholetime Director

Date: 19.10.2022
Place: New Delhi

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