



Date: 10.01.2026

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| The Head- Listing Compliance<br><b>BSE Limited,</b><br>Phiroze Jeejeebhoy Towers,<br>Dalal Street,<br>Fort Mumbai- 400001<br><br><b>Security Code: 511611</b> | The Head- Listing Compliance<br><b>National Stock Exchange of India Ltd.</b><br>Exchange Plaza,<br>Plot no. C/1, G Block,<br>Bandra-Kurla Complex<br>Mumbai - 400051<br><br><b>Stock Code: DCMFINSERV</b> | The Manager<br><b>The Calcutta Stock Exchange Ltd.</b><br>7, Lyons Range, Murgighata,<br>BBD Bagh, Kolkata, West<br>Bengal- 700001<br><br><b>Scrip Code: 014032</b> |
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**Subject: Statement of Investor's complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 31<sup>st</sup> December, 2025**

**Dear Sir/ Madam,**

We are appending below the Statement of Investor Complaints/Grievances received and resolved by the Company and Registrar and Share Transfer Agent of the Company for the Quarter ended **31<sup>st</sup> December, 2025** as required under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

| <b>S. No.</b> | <b>No. of Investor Complaints pending at the beginning of the quarter</b> | <b>No. of Complaints received during the quarter</b> | <b>No. of Complaints Disposed of during the quarter</b> | <b>No. of Complaints remaining unsolved at the end of the quarter</b> |
|---------------|---------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------|-----------------------------------------------------------------------|
| <b>1.</b>     | <b>Nil</b>                                                                | <b>Nil</b>                                           | <b>Nil</b>                                              | <b>Nil</b>                                                            |

Further, this is to confirm that Company is registered with **SEBI SCORES** platform for handling Investor Grievances expeditiously.

The above given Statement of investor Complaints/Grievances will be placed before board of Directors in the ensuing Board Meeting for their action/comments, if any required.

Kindly take note of the same in your records.

**Thanking You**  
**Yours Sincerely,**

**For DCM Financial Services Limited**

**Somali Trivedi**  
**Company Secretary & Compliance Officer**

## **DCM FINANCIAL SERVICES LIMITED**

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Bhisham Pitamah Marg, Delhi, 110003  
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