



JAIN P & ASSOCIATES

Company Secretaries

Add: B-40, Phase-2, VivekVihar, Delhi-110095

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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30TH, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Preeti Mittal**, Practicing Company Secretary appointed by **DCM Financial Services Limited** (CIN: L65921DL1991PLC043087) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that-

1. The Company has a Structured Digital Data base in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture ONE number of events during the quarter ended and has captured ONE number of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and there medial action(s) taken along with timelines in this regard: **Nil**

**For Jain P & Associates,
Company Secretaries**

**Date: 17.07.2023
Place: Delhi**

**Preeti Mittal
Company Secretary
Memb. No.:41579
C.O.P. No.:17079**

UDIN: A041759E000625276