



Date: 16.07.2022

|   |  |   |
|---|--|---|
| The Head- Listing Compliance<br><b>BSE Limited,</b><br>Phiroze Jeejeebhoy Towers,<br>Dalal Street,<br>Fort Mumbai- 400001<br><br><b>Security Code: 511611</b> | The Head- Listing Compliance<br><b>National Stock Exchange of India Ltd.</b><br>Exchange Plaza,<br>Plot no. C/1, G Block,<br>Bandra-Kurla Complex<br>Mumbai - 400 051<br><br><b>Stock Code: DCMFINSERV</b> | The Manager<br><b>The Calcutta Stock Exchange Ltd.</b><br>7, Lyons Range,<br>Murgighata, BBD Bagh,<br>Kolkata, West Bengal-<br>700001 |
|---|--|---|

**Subject: Compliance of Regulation 76 of SEBI (Depositories & Participants) Regulations, 2018- Reconciliation of Share Capital Audit Report for the quarter ended June 30, 2022**

Dear Sir,

Please find enclosed Reconciliation of Share Capital Audit Report for the quarter ended 30<sup>th</sup> June, 2022 in compliance with the Regulation 76 of SEBI (Depositories & Participants) Regulations, 2018.

Kindly take the above information on your records.

Yours Sincerely,

**For DCM Financial Services Limited**

NIDHI  
DEVESH  
WAR  
Digitally signed  
by NIDHI  
DEVESHWAR  
Date: 2022.07.16  
12:24:12 +05'30'

**Nidhi Deveshwar**  
**Whole time Director**  
**DIN: 09505480**

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**DCM FINANCIAL SERVICES LIMITED**

CIN:L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

email ID: info@dfsloonline.in

Website: www.dfsloonline.in



## OJHA & ASSOCIATES

Company Secretaries

473, Patel Nagar, P.O. Harjinder Nagar, Kanpur-208007

E-Mail: [cstanayojha@gmail.com](mailto:cstanayojha@gmail.com), Ph: +[91-9198069316](tel:919198069316)

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### **RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

*(As per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018)*

I have examined the Register of members and other records/documents maintained by **DCM FINANCIAL SERVICES LIMITED** (hereinafter referred to as the Company) for issuing Certificate.

In our opinion and to the best of our information and accordingly to the explanations given to us and based on such verification as considered necessary, we hereby certify the following.

1. For the Quarter and year ended : **30<sup>th</sup> June, 2022**
2. ISIN : **INE891B01012**
3. Face Value : **Rs.10/-**
4. Name of the Company : **DCM Financial Services Limited**
5. Registered Office Address : **D-7/3 Okhla Industrial Area, Phase-2 New Delhi 110020**
6. Correspondence Address : **Same as above**
7. Telephone : **Tel: 91-11-26387750**
8. Email Address : **[info@dfslonline.in](mailto:info@dfslonline.in)**
9. Names of the Stock Exchanges where the company's securities are listed: : 

|                                       | <b>No. of Shares</b> | <b>Percentage of Total Issued Capital</b> |
|---------------------------------------|----------------------|---|
| National Stock Exchange of India Ltd. | 18781987             | 84.89%                                    |
| BSE Limited                           | 18781987             | 84.89%                                    |
| Calcutta Stock Exchange               | 18781987             | 84.89%                                    |
10. Issued capital : **22125054** **100%**
11. Listed Capital(As per company records) : **18781987** **84.89%**

12. Held in Dematerialized Form in CDSL : **3862212** **17.46%**
13. Held in Dematerialized Form in NSDL : **14578537** **65.89%**
14. Physical : **3684305** **16.65%**
15. Total No. of shares (12+13+14) : **22125054** **100%**
16. Reasons for difference if any, between (10&11), (10&15), (11&15) : The Company allotted 33,43,067 equity shares of Rs. 10/- each on preferential basis in 2001 to two banks and Promoter Company, pursuant to scheme of arrangement for re-organization of share capital of the Company. The Company had filed the listing applications simultaneously with stock exchanges for listing. The listing is still pending as the final order of the court is yet to come.
17. Details of changes in share capital during the quarter under consideration as per Table below:

| <b>Particulars *</b> | <b>No. of shares</b> | <b>Applied / Not Applied for listing</b> | <b>Listed on Stock Exchanges (Specify Names)</b> | <b>Whether intimated to CDSL</b> | <b>Whether intimated to NSDL</b> | <b>In-principle approval pending from SE</b> |
|----------------------|----------------------|--|--|----------------------------------|----------------------------------|--|
| N.A                  | N.A                  | N.A                                      | N.A  | N.A                              | N.A                              | N.A  |

\*Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. Register of Members is updated : Yes
19. Reference of previous quarter with regards to excess dematerialized shares, if any : Nil
20. Has the company resolved the matter mentioned in Point no.19? Above in the Current quarter? If not, reason why? : NA

21. Mentioned the total no. of requests, if any, Confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

| Total No. of Demat Requests   | No. of requests | No. of shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 Days       | Nil             | Nil           | Nil               |
| Pending for more than 21 days | Nil             | Nil           | Nil               |

22. Name, Address, Tel No. of the : **Ms. Somali Tiwari**  
Compliance Officer **(Company Secretary & Compliance Officer)**  
D-7/3 Okhla Industrial Area, Phase-2 New  
Delhi-110020  
Tel. 91-11-26387750  
M. No.: A47631
23. Name, Address, Tel & Fax no. Reg. no. : **PCS Tanay Ojha,**  
of the certifying Company Secretary **Company Secretary**  
Add: 473, Patel Nagar, P.O. Harijinder Nagar,-  
Kanpur-208007, Tel: +91-9198069316  
E-mail-ID:cstanayojha@gmail.com  
M. No. A29658, C.O.P. No. 10790
24. Appointment of common agency for : **MCS Share Transfer Agent Limited**  
Share Registry Work F-65, 1<sup>st</sup> Floor, Okhla Industrial Area, Phase-I,  
New Delhi- 110020
25. Any other detail that Practicing : NA  
Company Secretary may Like to provide  
(e.g. BIFR Company, Delisting from  
SE)

**For Ojha & Associates,  
Company Secretaries**

**Tanay** Digitally signed  
by Tanay Ojha  
**Ojha** Date: 2022.07.15  
11:58:54 +05'30'

**Tanay Ojha**  
**Company Secretary**  
**Memb. No. A29658**  
**C.O.P. No. 10790**

**Date: 15/07/2022**

**Place: Kanpur**

**UDIN: A029658D000627574**