



Date:15.10.2022

The Head- Listing Compliance BSE Limited, PhirozeJeejeebhoy Towers, Dalal Street, FortMumbai- 400001 Security Code: 511611	The Head- Listing Compliance National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400 051 Stock Code: DCMFINSERV	The Manager The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001
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Subject: Compliance of Regulation 76 of SEBI (Depositories & Participants) Regulations, 2018- Reconciliation of Share Capital Audit Report for the quarter ended September 30, 2022

Dear Sir,

Please find enclosed Reconciliation of Share Capital Audit Report for the aforementioned quarter in compliance with the Regulation 76 of SEBI (Depositories & Participants) Regulations, 2018.

Kindly take the above information on your records.

Yours Sincerely,

For DCM Financial Services Limited

NIDHI

DEVESHWAR

(Nidhi Deveshwar)

Whole Time Director

DIN: 09505480

Place: New Delhi

Digitally signed by NIDHI DEVESHWAR
DN: cn=N, o=Uttar Pradesh,
2.5.4.20-d4150338b2d410965c96a3ac568a9e563
7d51a50abb21fc4f6a7672a64d77,
postalCode=201017, street=Ghaziabad,
pseudoDn=4662b467d9e5c4277c4d89507d24ee4,
serialNumber=cc4c305436d6818b7879e33b2095d17
e9d9f5ad1b42990a01c0a9c208679440, o=Personal,
cn=NIDHI DEVESHWAR
Date: 2022.10.15 11:42:42 +05'30'

DCM FINANCIAL SERVICES LIMITED

CIN:L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

email ID: info@dfslonline.in

Website: www.dfslonline.in



OJHA & ASSOCIATES

Company Secretaries

473, Patel Nagar, P.O. Harjinder Nagar, Kanpur-208007

E-Mail: cstanayojha@gmail.com, Ph: +[91-9198069316](tel:919198069316)

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

(As per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018)

I have examined the Register of members and other records/documents maintained by **DCM FINANCIAL SERVICES LIMITED** (hereinafter referred to as the Company) for issuing Certificate.

In our opinion and to the best of our information and accordingly to the explanations given to us and based on such verification as considered necessary, we hereby certify the following.

- | | | | | |
|-----|-------------------------------------------------------------------------|---|------------------------------------------------------------|-------------------------------------------|
| 1. | For the Quarter ended | : | 30th September, 2022 | |
| 2. | ISIN | : | INE891B01012 | |
| 3. | Face Value | : | Rs. 10/- | |
| 4. | Name of the Company | : | DCM Financial Services Limited | |
| 5. | Registered Office Address | : | D-7/3 Okhla Industrial Area, Phase-2 New Delhi 110020 | |
| 6. | Correspondence Address | : | Same as above | |
| 7. | Telephone | : | Tel: 91-11-26387750 | |
| 8. | Email Address | : | info@dfsionline.in | |
| 9. | Names of the Stock Exchanges where the company's securities are listed: | : | No. of Shares | Percentage of Total Issued Capital |
| | National Stock Exchange of India Ltd. | | 18781987 | 84.89% |
| | BSE Limited | | 18781987 | 84.89% |
| | Calcutta Stock Exchange | | 18781987 | 84.89% |
| 10. | Issued capital | : | 22125054 | 100% |
| 11. | Listed Capital(As per company records) | : | 18781987 | 84.89% |

12. Held in Dematerialized Form in CDSL : **4013018** **18.14%**
13. Held in Dematerialized Form in NSDL : **14434558** **65.24%**
14. Physical : **3677478** **16.62%**
15. Total No. of shares (12+13+14) : **22125054** **100%**
16. Reasons for difference if any, between (10&11), (10&15), (11&15) : The Company allotted 33,43,067 equity shares of Rs. 10/- each on preferential basis in 2001 to two banks and Promoter Company, pursuant to scheme of arrangement for re-organization of share capital of the Company. The Company had filed the listing applications simultaneously with stock exchanges for listing. The listing is still pending as the final order of the court is yet to come.
17. Details of changes in share capital during the quarter under consideration as per Table below:

Particulars *	No. of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle approval pending from SE
N.A	N.A	N.A	N.A	N.A	N.A	N.A

*Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. Register of Members is updated : Yes
19. Reference of previous quarter with regards to excess dematerialized shares, if any : Nil
20. Has the company resolved the matter mentioned in Point no.19? Above in the Current quarter? If not, reason why? : NA

21. Mentioned the total no. of requests, if any, Confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of Demat Requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 Days	Nil	Nil	Nil
Pending for more than 21 days	Nil	Nil	Nil

22. Name, Address, Tel No. of the : **Ms. Somali Tiwari**
Compliance Officer **(Company Secretary & Compliance Officer)**
D-7/3 Okhla Industrial Area, Phase-2 New
Delhi-110020
Tel. 91-11-26387750
M.No.: A47631
23. Name, Address, Tel & Fax no. Reg. no. : **PCS Tanay Ojha,**
of the certifying Company Secretary **Company Secretary**
Add: 473, Patel Nagar, P.O. Harijinder Nagar,-
Kanpur-208007, Tel: +91-9198069316
E-mail-ID: cstanayojha@gmail.com
M. No. A29658, C.O.P. No. 10790
24. Appointment of common agency for : **MCS Share Transfer Agent Limited**
Share Registry Work F-65, 1st Floor, Okhla Industrial Area, Phase-I,
New Delhi- 110020
25. Any other detail that Practicing : NA
Company Secretary may Like to provide
(e.g. BIFR Company, Delisting from
SE)

**For Ojha & Associates,
Company Secretaries**

**Tanay
Ojha**

Digitally signed by
Tanay Ojha
Date: 2022.10.11
14:27:41 +05'30'

Tanay Ojha
Company Secretary
Memb. No. A29658
C.O.P. No. 10790

Date: 11.10.2022

Place: Kanpur

UDIN: A029658D001187749