



Date: 17.10.2023

The Head- Listing Compliance <b>BSE Limited,</b> Phiroze Jeejeebhoy Towers, Dalal Street, Fort Mumbai- 400001  <b>Security Code: 511611</b>	The Head- Listing Compliance <b>National Stock Exchange of India Ltd.</b> Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400 051  <b>Stock Code: DCMFINSERV</b>	The Manager <b>The Calcutta Stock Exchange Ltd.</b> 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001  <b>Scrip Code: 014032</b>
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**Subject: Compliance of Regulation 76 of SEBI (Depositories & Participants) Regulations 2018- Reconciliation of Share Capital Audit Report for the quarter ended September 30, 2023**

Dear Sir/Madam,

Please find enclosed herewith Reconciliation of Share Capital Audit Report for the quarter ended September 30, 2023 in compliance with the Regulation 76 of SEBI (Depositories & Participants) Regulations, 2018.

Kindly take the above information on your records.

Yours Sincerely,

**For DCM Financial Services Limited**

**Somali Trivedi**  
**Company Secretary & Compliance officer**

**Place: New Delhi**

**Encl: As above**

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**DCM FINANCIAL SERVICES LIMITED**

CIN L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfslonline.in

Website: www.dfslonline.in



**JAIN P & ASSOCIATES**

**Company Secretaries**

**Add: B-40, Phase-2, VivekVihar, Delhi-110095**

**E-Mail: [jainpandassociates@gmail.com](mailto:jainpandassociates@gmail.com), Ph: +91-9599473294**

**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

***(As per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018)***

I have examined the Register of members and other records/documents maintained by **DCM Financial Services Limited** (hereinafter referred to as the Company) for issuing Certificate.

- | 1.  | For the Quarter ended   | :           | September 30, 2023  |               |                                    |                    |                 |             |   |  |  |   |  |  |
|---|---|-------------|---|---------------|------------------------------------|--------------------|-----------------|-------------|---|--|--|---|--|--|
| 2.  | ISIN  | :           | INE891B01012  |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 3.  | Face Value  | :           | Rs. 10/-  |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 4.  | Name of the Company   | :           | <b>DCM Financial Services Limited</b>   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 5.  | Registered Office Address   | :           | D-7/3, Okhla Industrial Area, Phase-2, New Delhi-110020   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 6.  | Correspondence Address  | :           | Same as above   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 7.  | Telephone & Fax Nos.  | :           | 011-26387750  |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 8.  | Email Address   | :           | <a href="mailto:info@dfslonline.in">info@dfslonline.in</a>  |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 9.  | Names of the Stock Exchanges where the company's securities are listed: | :           | <table><thead><tr><th>No. of Shares</th><th>Percentage of Total Issued Capital</th></tr></thead><tbody><tr><td><b>BSE Limited</b></td><td><b>22125054</b></td><td><b>100%</b></td></tr><tr><td><b>National Stock Exchange of India Ltd</b></td><td></td><td></td></tr><tr><td><b>The Calcutta Stock Exchange Ltd.</b></td><td></td><td></td></tr></tbody></table> | No. of Shares | Percentage of Total Issued Capital | <b>BSE Limited</b> | <b>22125054</b> | <b>100%</b> | <b>National Stock Exchange of India Ltd</b> |  |  | <b>The Calcutta Stock Exchange Ltd.</b> |  |  |
| No. of Shares                               | Percentage of Total Issued Capital                                      |             |   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| <b>BSE Limited</b>                          | <b>22125054</b>   | <b>100%</b> |   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| <b>National Stock Exchange of India Ltd</b> |   |             |   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| <b>The Calcutta Stock Exchange Ltd.</b>     |   |             |   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 10.   | Issued capital  | :           | <b>22125054</b> <b>100%</b>   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 11.   | Listed Capital(As per company records)                                  | :           | <b>18781987</b> <b>84.89%</b>   |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 12.   | Held in Dematerialized Form in CDSL                                     | :           | <b>4593138</b> <b>20.76%</b>  |               |                                    |                    |                 |             |   |  |  |   |  |  |
| 13.   | Held in Dematerialized Form in NSDL                                     | :           | <b>13901437</b> <b>62.83%</b>   |               |                                    |                    |                 |             |   |  |  |   |  |  |

14. Physical : **3630479** **16.41**
15. Total No. of shares (12+13+14) : **22125054** **100%**
16. Reasons for difference if any, between (10&11), (10&15), (11&15) : The Company allotted 33,43,067 equity shares of Rs. 10/- each on preferential basis in 2001 to two banks and Promoter Company, pursuant to scheme of arrangement for re-organization of share capital of the Company. The Company had filed the listing applications simultaneously with stock exchanges for listing. The listing is still pending as the final order of the court is yet to come.
17. Details of changes in share capital during the quarter under consideration as per Table below:

Particulars *	No. of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle approval pending from SE
N.A	N.A	N.A	N.A	N.A	N.A	N.A

\*Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. Register of Members is updated : Yes
19. Reference of previous quarter with regards to excess dematerialized shares, if any : Nil
20. Has the company resolved the matter mentioned in Point no.19? Above in the Current quarter? If not, reason why? : NA
21. Mentioned the total no. of requests, if any, Confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of Demat Requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 Days	Nil	Nil	NA
Pending for more than 21 days	Nil	Nil	NA

22. Name, Address, Tel No. & ~~Fax no.~~ : **Ms. Somali Trivedi**  
Compliance Officer **Company Secretary & Compliance Officer**  
D-7/3 Okhla Industrial Area, Phase-2, New  
Delhi-110020  
Tel. 91-11-26387750  
M. No. A47631
23. Name, Address, Tel & ~~Fax no.~~ Reg. no. of : **Ms. Preeti Mittal,**  
the certifying Practicing Company **Practicing Company Secretary**  
Secretary Add: B-40, Phase-2, Vivek Vihar,  
Delhi-110095, Tel: +91-9599473294  
E-mail-ID: [jainpandassociates@gmail.com](mailto:jainpandassociates@gmail.com)  
M. No. 41759, C.O.P. No. 17079
24. Appointment of common agency for : **MCS Share Transfer Agent Limited**  
Share Registry Work F-65, 1st Floor, Okhla Industrial Area, Phase-I,  
New Delhi- 110020  
E-mail-ID: [admin@mcsregistrars.com](mailto:admin@mcsregistrars.com)  
Tel: 011-41406149
25. Any other detail that Practicing Company : Nil  
Secretary may Like to provide (e.g. BIFR  
Company, Delisting from SE)

**Date: 17.10.2023**  
**Place: Delhi**

**For Jain P & Associates,  
Company Secretaries  
(Peer Reviewed Firm:2985/2023)**

**PREETI  
MITTAL**

Digitally signed by  
PREETI MITTAL  
Date: 2023.10.17  
12:10:19 +05'30'

**Preeti Mittal**  
**Company Secretary**  
**Memb. No. 41759**  
**C.O.P. No. 17079**

**UDIN: A041759E001336131**